PTO/SB/64/PCT (05-07)

Approved for use through 02/28/2010. OMB 0851-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it displays a valid OMB control number:

PETITION FOR REVIVAL	OF AN INTERNATIONAL APPLICATION FOR PATENT
PETITION FOR REVIVAL	ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(b)
DESIGNATING THE U.S.	ABANDONED DIMINITENTIONALE CONSERVATION OF A MICHAEL

Docket Number (Optional)

First Named Inventor: Steven P. Masterson

International (PCT) Application No.: PCT/US05/07936

U.S. Application No.: 10/591,806

(if known)

IA Filed: IA Filing Date 03/08/05

U.S. Filed: 09/06/06

Title: Improved Apparatus for Electrically Mediated Delivery of Therapeutic Agents

**FAX RECEIVED** 

JUN - 3 2009

Attention: PCT Legal Staff

Mail Stop PCT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

OFFICE OF PETITIONS

The above-identified application became abandoned as to the United States because the fees and documents required by 35 U.S.C. 371 (c) were not filed prior to the expiration of the time set in 37 CFR 1.495(b) or (c) as applicable. The date of abandonment is the day after the date on which the 35 U.S.C. 371 (c) requirements were due. See 37CFR1.495(h).

### APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION

NOTE: A grantable petition requires the following items:

- (1) Petition fee
- (2) Proper reply
- (3) Terminal disclaimer with disclaimer fee which is required for all international applications having an international filing date before June 8, 1995; and
- (4) Statement that the entire delay was unintentional.

1		Þ۵	titi	n	fee

	Other than	small entity	y - fee S	5 (37	CFR 1	l.17(m))
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#### 2. Proper reply

Α.	The proper reply (the missing 35 U.S.C. 3	71 (c) requirement(s)) in the form of
	Notification and Declaration	(identify type of reply):
	The bear filed exculpingly on	<del></del>

L	ز_	has	been	filed	previously	on	•
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is enclosed herewith.

[Page 1 of 2]

This collection of information is required by 37 CFR 1.137(b). The information is required to obtain or retain a bonefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the armount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Petent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS U.S. Patents and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 3. Terminal disclaimer with disclaimer fee Since this international application has an international filing date on or after June 8, 1995, no terminal disclaimer is required. A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$\_\_\_\_ for a small entity or for other than a small entity) disclaiming the required period of time is enclosed herewith (see PTO/SB/63). 4. Statement. The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional. WARNING: Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available. 43,821 Peter R. Munson Registration Number, If applicable Typed or Printed Name 650-493-9300 Wilson Sonsini Goodrich & Rosati Telephone Number Address 650 Page Mill Road, Palo Alto, CA 94304-1050 Address Enclosures: X Response to Notification of Missing Requirements Fee Payment Terminal Disclaimer Other (please identify): Declaration

[Page 2 of 2]



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address COMMISSIONER FOR PATENTS
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 U.S. APPLICATION NUMBER NO.
 FIRST NAMED APPLICANT
 ATTY. DÖCKET NO.

 10/591,806
 Steven P. Masterson
 P00262US1

 INTERNATIONAL APPLICATION NO.

 PCT/US05/07936

 Law Offices of James C. Weseman
 I.A. FILING DATE
 PRIORITY DATE

 401 W.A. Street
 03/08/2005
 03/08/2004

Law Offices of James C. Weseman 401 W A Street Suite 1600 San Diego, CA 92101-7906

Date Mailed: 05/11/2007

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 09/06/2006
- Copy of the International Search Report filed on 09/06/2006
- U.S. Basic National Fees filed on 09/06/2006
- Priority Documents filed on 09/06/2006
- Specification filed on 09/06/2006
- Claims filed on 09/06/2006
- Abstracts filed on 09/06/2006
- Drawings filed on 09/06/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$200 as a small entity, including any required multiple dependent claim fee, are
  required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are
  due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$300 for a Small Entity:

- The application examination fee has not been paid. Applicant must submit \$100 to complete the
  examination fee for a small entity in compliance with 37 CFR 1.27. Note a surcharge will be required if
  submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee
  was not paid before July 1, 2005.
- Total additional claim fee(s) for this application is \$ 200
  - \$200 for 18 total claims over 20.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

#### PART 2 - OFFICE COPY

U.S. APPLICATION NUMBER NO.	international application no.	ATTY, DOCKET NO.
10/591,806	PCT/US05/07936	P00262US1

FORM PCT/DO/EO/905 (371 Formalities Notice)

Doc Code: OATH Document Description: Oath or declaration filed rk Reduction Act of 1895, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

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Inde	r the Paperwork R	CONCUOIL VIEW	TIBBO, He persons are		
DESIGN PATENT APPLICATION		Attorney Docket Number			
		First Named Inventor	Steven P. Masterson		
		COMPLETE IF KNOWN			
		Application Number	10/591,808/ PCT/US05/007938		
	Declaration Declaration	Filing Date	9/6/06/ IA Date: 3/8/05		
Submitted OR Submitted arter in the Submitted	Art Unit	Not Assigned			
	Examiner Name	Not Assigned			

1 here	by declare that:
(1) E	ach inventor's residence, mailing address, and citizenship are as stated below next to their name; and
(2) 11	believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for
IME	poved Apparatus for Electrically Mediated Delivery of Therapeutic Agents
	(Title of the Invention)
the sp	ecification of which
	is attached hereto
×	OR was filed on (MM/DD/YYYY) 9/6/05 as United States Application Number 10/591,806 or PCT International
Applicati	ion Number PCT/US05/007936 filed 3/8/05 and was amended on (MM/DD/YYYY) [ (If applicable).
I hereby amende	y state that I have reviewed and understand the contents of the above identified application, including the claims, as ad by any amendment specifically referred to above.
1	wiedge the duty to disclose information which is material to petentability as defined in 37 CFR 1.56, including for ation-in-part applications, material information which became available between the filing date of the prior application

#### Authorization to Permit Access To Application by Participating Offices

and the national or PCT international filing date of the continuation-in-part application.

If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified application is filed access to the above-identified patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, or other intellectual property office in which a foreign application claiming priority to the above-identified application is filed to have access to the

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the application-as-filed with respect to: 1) the aboveidentified application, 2) any foreign application to which the above-identified application claims priority under 35 USC 119(a)-(d) If a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the aboveidentified US application, and 3) any U.S. application from which benefit is sought in the above-identified application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing the Authorization to Permit Access to Application by Participating Offices.

#### [Page 1 of 4]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to about or retain a benefit by the public which is to the (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## **DECLARATION** — Utility or Design Patent Application

#### Claim of Foreign Priority Benefits I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breader's rights certificate(s), or any PCT international application having a filling date before that of the application on which priority is claimed. Certified Copy Attached? Priority Foreign Filing Date Prior Foreign Application **Not Claimed** Yes (MM/DD/YYYY) Country Number(\$) Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 2 of 4]

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Under the Paperwork Reduction Act of 1993,		Dan	ies Potos	Annileation	
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Commy	650-49	3-9300		pmunson@wsgr.com	
		MADNING.		·	
Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identify theft. Personal information auch as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO, petitioner/applicants petition or an application. If this type or personal information is included in documents submitted to the USPTO, petitioner/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available. Petitioner/applicant is advised that documents which form the record of a patent application (such as the PTO/58/01) are piaced into the Privacy Act system of records DEPARTMENT OF COMMERCE, patent application file and therefore are not publicly available. Petitioner/applicant in advised that documents which form the record of a patent application is the PTO-2033) are placed into the Privacy Act system of commerce Patent Application Files. Documents not retained in an application file (such as the PTO-2033) are placed into the Privacy Act system of COMMERCE/PAT-TM-10, System name: Deposit Accounts and Electronic Funds Transfer Profiles.  I hareby declare that all statements made herein of my own knowledge are true and that all statements made on information and betief walldity					
NAME OF SOLE OR FIRST INVEN	ITOR:	A petition	has been filed fo	or this unsigned inventor	
Given Name (first and middle [if eny]) Steven P.			Family Name or Surname MASTERSON		
Inventor's Signature	Afrit			Date May 1/ 200)	
Residence: Clb	State	C	xuntry	Citizenship	
Leucadia	CA		us	US	
Mailing Address					
2:7 2:6 Hillcrest Drive	•				
City	State	Z		Country	
Leucadia	CA		92024	US	
Additional inventors or a legal representative a	one being named on t	ne 1 supplem	erusi sheet(s) PTO	SB/02A or 02LR attached hereto.	

[Page 3 of 4]

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PTO/SB/02A (07-07)

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Supplemental Sheet

DECLARATIO	N	Заррынена.	Page 4 of 4		
Name of Additional Joint Inventor	, if any:	A petition has been fil	led for this unsigned inventor		
Given Name (first and middle (		Family Name or Sumame			
Andrew W.		Н	ANNAMAN		
Inventor's Signature			Date 08-May-09		
Residence: City San Diego	State CA	Country US	Citizenship US		
Mailing Address 13832 Torrey Del N	lar				
City San Diego	State CA	Zip 92130	Country US		
Name of Additional Joint Invento			A petition has been filed for this unsigned inventor		
Given Name (first and middle (	if any))	Family Name or Sumame			
/ Robert M.	<u></u>	BERNARD			
Inventor's Signature / Manual	nd		Date 5/12/09		
Residence: City Rancho Santa Fe	State CA	Country US	Citizenship US		
Mailing Address P.O. Box 9960					
City Rancho Santa Fe	State CA	ZIP 92067	Country US		
Name of Additional Joint Invento	r, if any:	A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))		Family Name or Sumame			
Inventor's Signature		,	Dato		
Residence: City	State	Country	Citizenship		
Mailing Address					
Cin	State	Zlp .	Country		

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